



Health & Wealth, We Manage Both

NSDL CDSL NSE BSE MCX'SX DSE NCDEX DGCX MCX NMCE NCDEX-SPOT IEX NSEL

- SHARES
- COMMODITY
- TIN
- E-RETURN
- DP
- UID
- RTA
- PMS
- TPA
- HEALTH CARE
- INSURANCE
- IPO
- INVESTMENT ADVISORY
- REGISTRAR

Alankit ASSIGNMENTS LIMITED

Demat A/c Opening Form for Individual with **NSDL**

NAME :

.....

.....

ACCOUNT NO. :

Corporate Office
 'Alankit House', 2E/21,
 Jhandewalan Extension, New Delhi-110055
 Tel. : 91-11- 42541234, 23541234,
 Fax : 91-11-42541883-884
 Website : www.alankit.com

Depository Division
 1E/13, Ground Floor,
 Jhandewalan Extension,
 New Delhi - 110055
 Ph. : 91-11-42541795-98, 42541812-16

Annedure JB
INSTRUCTIONS/ CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/ Partners/ Karta/ Trustees and whole time directors and persons authorized to deal in securities on behalf of company/ firm/ others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIO Card/ OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. For the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/ Mark sheet issued by Higher Secondary Board/ Passport of Minor/ Birth Certificate must be provided.
11. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ Military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as proof of Identity:

1. Unique Identification Number (UID) Aadhaar/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/ State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and "Credit Cards/ Debit Cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voter Identity Card/ Ration Card/ Unique Identification Number (UID) Aadhaar / Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bill like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/ Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co-Operative Bank/ Multinational Foreign Banks/ Gazetted Officer/ Notary public/ Elected representatives to the Legislative Assembly/ Parliament/ Documents issued by any Govt. or Statutory Authority.
6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., To their Members.
7. For FI/ sub-account, Power of Attorney given by FI/ sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/ clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/ multilateral agencies exempt from paying taxes/ filing tax returns in India.
4. In case of institutional clients, namely, FIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of

such verified PAN details to the intermediary.

E. List of People authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ CO-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/ Whole time director/ MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/ two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only) • Copy of Trust deed. • List of trustees certified by managing trustees/ CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF • Deed of declaration of HUF/ List of coparceners. • Bank pass-Book/ Bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a Body of Individuals	<ul style="list-style-type: none"> • Proof of Existence/ Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/ Institutional Investors	<ul style="list-style-type: none"> • copy of the constitution/ registration or annual report/ balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/ Secretary.

ANNEXURE - J

PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Ex tn., **New Delhi-110055**

Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784 **DP-ID IN 300118**

Fax:011-42541883-884, E-mail : dp@alankit.com, info@alankit.com

Manager DP Operation Ms Asha Khanna (M) 9582200543, Email : ashak@alankit.com Phone Direct 011-42541899

101-104, Luhadia Tower, Ashok Marg 'C' Scheme, **Jaipur-302001**

DP-ID IN 301160

Tel.: 0141-4139311-13-14-15, Fa x : 0141-2374535, E-mail : alankitjpr@alank t.com

Branch Incharge Mr Sumit Agarwal (M) 9672973670, E-mail: Sumit@alankit.com Phone Direct 0141-4093302

Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, **Bareilly-243001**

DP-ID IN 301186

Tel.: 0581-2551161-64, 2551086-88, Tele/fax : 0581-2551165, E-mail : alankitbly@alankit.com

Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email : ajayg@alankit.com Phone Direct 0581-2551164

Please fill in ENGLISH and in BLOCK LETTERS

Please affix your recent passport size photograph

Signature across Photograph

A. IDENTITY DETAILS										
1.	Name of the Applicant									
2.	Father's / Husband's Name									
3.	a) Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	b) Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married	c) Date of Birth							
4.	a) Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Others (Please specify, _____)	b) Status <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National								
5.	a) PAN	b) Unique Identification Number (UID) / Aadhaar, if any								
6.	Specify the proof Identity Submitted	<input type="checkbox"/> PAN Card <input type="checkbox"/> Any other (Please specify: _____)								
B. ADDRESS DETAILS										
1.	Correspondence Address	City / town / village				PIN Code				
		State				Country				
		Specify the proof of address submitted for correspondence address								
3.	Contact Details	Tel (Office)				Tel (Res.)				
		Fax No.				Mobile No.				
		E-mail ID								
4.	Permanent Address (If different from above. Mandatory for Non-Resident Applicant to specify overseas Address)	City / town / village				PIN Code				
		State				Country				
		Specify the proof of address submitted for permanent address								
C. OTHER DETAILS										
1.	Gross Annual Income Details (Please specify)									
	Income Range per annum				Networth					
	<input type="checkbox"/> Below ₹ 1 lac	<input type="checkbox"/> ₹ 10-25 lac	<input type="checkbox"/> ₹ 1-5 lac	<input type="checkbox"/> More than ₹ 25 lac	OR	Amount (₹) _____				
	<input type="checkbox"/> ₹ 5-10 lac						As on (date) _____			
	(Networth should not be older than 1 year)									

2.	Occupation (please tick any one and give brief details):	
	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Professional
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Retired
	<input type="checkbox"/> Business	<input type="checkbox"/> Others (Please specify: _____)
3.	Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)	
4.	Any other information	

D. DECLARATION											
I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.											
Signature of the Applicant	Date <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										

FOR OFFICE USE ONLY	
<input type="checkbox"/> (Originals verified) True copies of documents received	
<input type="checkbox"/> (Self-Attested) Self Certified Document copies received	
Signature of the Authorised Signatory	
Date	Seal/Stamp of the Intermediary

1. Identity of the applicant(s) is verified "in Person"
a. Alankit Assignments Ltd. Intermediary ID - P2080
b. Branch / Service Centre _____
c. Staff Name _____
Emp. Code _____ Signature _____
d. Date _____ Place _____

ANNEXURE - J

PART II - ACCOUNT OPENING FORM (For Individuals)

Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Ex tn., **New Delhi-110055**

Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784

Fax:011-42541883-884, E-mail : dp@alankit.com, info@alankit.com

Manager DP Operation Ms Asha Khanna (M) 9582200543, Email : ashak@alankit.com Phone Direct 011-42541899

101-104, Luhadia Tower, Ashok Marg 'C' Scheme, **Jaipur-302001**

Tel.: 0141-4139311-13-14-15, Fa x : 0141-2374535, E-mail : alankitjpr@alank t.com

Branch Incharge Mr Sumit Agarwal (M) 9672973670, E-mail: Sumit@alankit.com Phone Direct 0141-4093302

Lata Arcade 1st Floor, 87, Civil Lines,Near Ayub Khan Chauraha, **Bareilly-243001**

Tel.: 0581-2551161-64, 2551086-88, Tele/fax : 0581-2551165, E-mail : alankitbly@alankit.com

Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email : ajayg@alankit.com Phone Direct 0581-2551164

DP-ID IN 300118

DP-ID IN 301160

DP-ID IN 301186

Serial No.

Receipt No. :

Cash / Cheque No.....

Rs.....

OPENED BY

VERIFIED BY

Client ID (To be filled by participant)

I/We request you to open a depository account in my / our name as per the following details :
(Please fill all the details in CAPITAL LETTERS only)

Date

A) Details of Account holder(s):

	Name	PAN
Sole / First Holder		
Second Holder		
Third Holder		

B) For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of the natural person, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be Mentioned below:

a) Name	b) PAN
---------	--------

C) Type of Account

- Ordinary Resident NRI-Repatriable NRI-Non Repatriable
 Qualified Foreign Investor Foreign National Promoter
 Margin Others (Please specify) _____

D) In case of NRIs/ Foreign Nationals

RBI Approval Reference Number	
RBI Approval date	

E) Bank Details

1.	Bank Account Type	<input type="checkbox"/> Saving Account	<input type="checkbox"/> Current Account	<input type="checkbox"/> Others (Please specify) _____
2.	Bank Account Number			
3.	Bank Name			
4.	Branch Address			
	City/town/village		PIN Code	
	State		Country	
5.	MICR Code			
6.	IFSC			

F) Standing Instructions

1.	I/We authorise you to receive credits automatically into my/our account	<input type="checkbox"/> Yes <input type="checkbox"/> No
----	---	---

2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
3.	Download of e-mail ID to Issuer / RTA	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
4.	SMS Alert facility [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobilenumber is provided in the KYC Application Form]			
	Sr. No.	Holder	Yes	No
	1.	Sole/First Holder	<input type="checkbox"/>	<input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>
	3.	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>
G)	Guardian Details (where sole holder is a minor): [For account of a minor, two KYC Application Forms must be filled i.e. One for the guardian and another for the minor (to be signed by Guardian)]			
	Guardian Name			
	PAN			
	Relationship of guardian with minor			
H)	Nomination Option			
	I/We wish to make a nomination [As per details given below]		I/We do not wish to make a nomination [Strike off the nomination details below]	
	Nomination Details			
	I/We wish to make a nomination and do hereby nominate the following person in whom all rights and / or amount payable in respect of securities held in the Depository be me / us in the said beneficiary owner account shall vest in the event of my our death.			
1.	Name of the Nominee (Mr./Ms.)			Photograph of Nominee Signature of Nominee across Photograph
2.	Relationship with the Applicant (if any)			
3.	Address of Nominee			
	City/town/village	PIN Code		
	State	Country		
4.	Contact Details of nominee	Tel (Office)	Tel (Res.)	
		Fax No.	Mobile No.	
		E-mail ID		
5.	Signature of nominee			
	To be filled-up (Sr. Nos. 6-11) only if nominee is a minor:			
6.	Date of Birth (in case of minor nominee)			
7.	Name of the Guardian(Mr./Ms.) (In case of minor nominee)			Photograph of Guardian (for minor nominee) Signature of Guardian across Photograph
8.	Address of Guardian			
	City/town/village	PIN Code		
	State	Country		

9.	Contact Details of Guardian	Tel (Office)		Tel (Res.)	
		Fax No.		Mobile No.	
		E-mail ID			
10.	Relationship of guardian with nominee				
11.	Signature of Guardian				
Signature of two Witnesses for nomination					
	Name of witness	Address		Signature of witness with date	
	a.				
	b.				

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.

Name(s) of holder(s)	Signature(s) of holder
Sole/First Holder/ Guardian (In case sole holder is minor (Mr./ Ms.))	⊗
Second Holder (Mr./ Ms.)	⊗
Third Holder (Mr. / Ms.)	⊗

Notes:

- All communication shall be sent at the address of the Sole/First holder only.
- Thumb impressions and signatures other than English or Hindi or any of the other not contained to the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Instructions related to nomination, are as below:
 - The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
 - The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- Strike off whichever is not applicable.

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Acknowledgement

Alankit ASSIGNMENTS LIMITED

DELHI - DP-ID IN 300118 : 1E/13, Ground Floor, Jhandewalan Ex tn., New Delhi-110055

JAIPUR - DP-ID IN 301160 : 101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001

BAREILLY - DP-ID IN 301186 : Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, Bareilly-243001

Received the application from Mr./Ms. _____ as the sole/first holder alongwith _____
 _____ And _____ as the second and third holders respectively for
 opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Participant Stamp & Signature

ANNEXURE - A
FEMA DECLARATION CUM DECLARATION OF NRI STATUS BY NON RESIDENT

Alankit ASSIGNMENTS LTD.

Dated :

1. PASSPORT DETAILS

• Number _____ • Date of Issue _____ • Date of Expiry _____
• Place of Issue _____ • Issuing Authority _____

2. NATIONALITY _____ 3. COUNTRY OF ORIGIN _____

4. LOCAL ADDRESS _____

5. PURPOSE OF STAY ABROAD _____

I declare that I am a Non-Resident Indian residing at _____ (City / Country of stay) and Citizen of _____ (Name of Country) for the purpose of Foreign Exchange Regulation Act 1973/ Foreign Exchange Management Act 2000 and shall immediately inform you in the event of any change in my address, citizenship and /or residency or other status.

I/We would confirm that all debits to my/our accounts for the purpose of investment in India and credits of investments in India are covered either by general or special permission of RBI.

I will ensure that investments in shares / securities or in India out of funds held in my / our account with you are governed by respective regulations of Reserve Bank of India.

I/We also declare that I/We have complied and will continue to comply with FEMA regulations.



Applicant signature :

Encl. : Photocopy of Passport (Countersigned by applicant)

CHECKLIST (NRI) - HOLDING STATUS

In case already holding securities :

- (a) In the account opening form, RBI reference no. and approval date is to be quoted. Photocopy of the same be provided.
- (b) In case NRI has never acquired any holding or securities from secondary market in the relevant column he should write (i) In the column of Reference No.: "**No holdings**" (ii) In the column of Approval Date : "**Present Date**"
- (c) In case NRI has acquired securities through allotment in primary market i.e. direct investment scheme the necessary approval would have been obtained by the issuer company, under such cases he should write

ANNEXURE - B
Declaration of HUF status

Alankit ASSIGNMENTS LTD.

Dated :

As I/We wish to open a DP account with you in my capacity as karta of HUF of _____ I/we beg to say that the first signatory to this letter, i.e. _____ is the karta of the joint Family and other signatories are the adult co-parceners of said family.

I/We further confirm that the business of said joint family is carried on mainly by the said karta as also by the other signatories hereto in the interest and for the benefit of entire body of co-parcener of the joint family.

In view of the fact that ours is not firm governed by the Indian Partnership Act of 1932, we have not got our said firm registered under the said Act.

I/We hereby undertake to inform you the death or birth of a co-parceners or of any change occurring at any time in the membership of our joint family during the currency of the account.

Name of Karta

Signature(s)

	Name of Adult Co-parceners/ Member	Date of Birth	Sex	Relationship with Karta	Signature
1.			M/F		
2.			M/F		
3.			M/F		
4.			M/F		
	Name of Minor Co-parceners	Date of Birth	Sex	Relationship with Karta	Signature
1.			M/F		
2.			M/F		
3.			M/F		
4.			M/F		

REGISTRATION FORM FOR EMAIL STATEMENTS

Alankit ASSIGNMENTS LIMITED

1E/ 13, Ground Floor,
Jhandewalan Extn.
New Delhi- 110055

SUBJECT : AVAILING OF THE ELECTRONIC TRANSACTION STATEMENT

CLIENT ID _____ **EMAIL ADDRESS** _____

Dear Sir,

I/We request you to send / dispatch the Email statements for my / our above mentioned demat account on Email instead of physical statement.

I/We understand that the e-mail Statements is for my / our convenience.

I/ We further state that I/ we sill not hold Alankit Assignments Limited responsible under any circumstances in the event of emails which you send get bounced due to any reason such as e-mail box being full, inactive account due to any technical reason beyond you control.

In the event of change in the e-mail address, I/We shall inform the same in writing.

I/We aware that I/We will not receive the transaction statements in paper form.

I/ We take all the necessary steps to ensure confidentiality and secrecy of the login name and password of my / our e-mail account.

I/ We am/ are aware that the transaction statement may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.

You shall have the right to terminate the service by giving the written notice at least 10 days in advance to me / us.

I/ We confirm to have read and understood the terms & conditions and declare and acknowledge my / our consent to abide by the above terms and conditions for availing e-mail statements.

Thanking you,

Your truly,

⊗

First Holder

⊗

Second Holder

⊗

Third Holder

Name : _____

Address : _____

Mobile Number : _____

Phone Number : _____

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND PERSON SEEKING TO OPEN AN ACCOUNT WITH THE DEPOSITORY PARTICIPANT

This agreement made and entered into this _____ day of _____ Two Thousand _____ between _____ R/o Situated at _____

(Hereinfter called "The client") and **Alankit Assignments Limited**, having its Registered office at 205-208 Anarkali complex Jhandewalan Extn., New Delhi -110055 and situated at _____

(hereinafter called "the Depository Participant")

WHEREAS the client has furnished to the Depository participant the duly filled in form requesting therein to open an account with the Depository Participant

WITNESSETH

NOW THEREFORE in consideration of the Depository participant having agreed to open an account for the client, both the parties to the agreement by covenant and agree as follows

- The client shall pay such charges to the Depository Participant for the purpose of opening and maintaining of his account, for carrying out the instructions of the client and for rendering such other services as may be agreed from time to time between the depository participant and the client as set out in schedule A. The Depository participant shall reserve the right to revise the changes by giving not less than thirty days notice in writing to the client
- The client shall have the right to get the securities, which have been admitted on the Depository, dematerialised in the form and manner laid down under the Bye Laws and Business Rules of the Depository. The Depository Participant further undertake that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all or any of such securities submitted for dematerialisation except on the instructions of the Client.
- The Depository Participant hereby undertakes that it shall maintain a separate account of its own securities held in dematerialised form with Depository and shall not co-mingle the same with the securities held in dematerialised form on behalf of the Client.
- The Depository Participant undertakes that a transfer to and from the account of a Client shall be made only on the basis of an order, instruction, direction or mandate duly authorised by the Client and that the Depository Participant shall maintain adequate audit trail of such authorisation.
- The Depository Participant agrees that the Client may give standing instructions with regard to the crediting of securities in his account and the depository participant shall act according to such instructions.
- The Depository Participant undertakes to provide a statement of accounts including transaction statement and holdings statement to the Client at monthly intervals and to the Clients opting for Basic Services Demat Account at quarterly intervals unless the Depository Participant and the Client have agreed for provision of such statements at shorter intervals. However, if there is no transaction in account, then the Depository Participant shall provide such statement to the Client on and annual basis.
- The Depository Participant shall have the right to terminate this agreement, for any reason whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client as well as the Depository. Similarly the Client shall have the right to terminate this agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or to rematerialise the security balances held. Based on the instruction of the Client, the Depository Participant shall initiate the procedure for transferring such security balances or rematerialise such security balances with in a period of thirty days, as per procedure laid down in the Bye Laws and Business Rules. Provided further, termination of this agreement shall not affect the rights, liabilities and obligation of either party and continue to bind the parties to their satisfactory completion.
- On the failure of the Client to pay the charges as laid out in the clause (1) of this agreement within a period of thirty days from the date of demand Depository Participant may terminate this agreement and close the account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the client held with another Participant or be rematerialised in the manner specified in the Bye laws and Business Rules of the Depository.
- The Client further agrees that in the event of the Client committing a default in the payment of any of amount provided in clause (1) within a period of thirty days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Clients, the Depository Participant may charge interest @ not more than 24% p.a. or such other rate as may specified by the Executive Committee from time to time for the period of such default. In case the Client has failed to make the payment or any of the amounts as provided in clause (1) of this agreement, the Depository Participant shall have the right to stop processing of instructions of the Client till such time he makes the payment along with interest, if

any after giving two days notice to the Client.

- The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the Depository from time to time.
- The client shall have the right to create a pledge of the securities held in the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules.
- The Depository shall not be liable to the client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and government charges in respect of securities credited in the Client's account.
- The Client may exercise the right to Freeze his account maintained with the Depository Participant in accordance with the procedures prescribed in the Bye laws and Business Rules.
- The Client may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the Bye laws and Business Rules.
- The Client shall notify the Depository Participant within seven days, of any changes in the details set out in the application form, submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
- The Depository Participant undertakes to resolve all legitimate grievances of the Client against the Depository Participant within a period of thirty days.
- The Depository Participant and the client shall abide by the arbitration and conciliation procedure prescribed under the Bye Laws of the Depository and that such procedure shall be applicable to any disputes.
- Notwithstanding anything contained herein or in the Bye Laws or Business Rules, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.
- The Depository Participant and the Client further agree that all claims, differences and disputes arising out of or in relation to dealings on the Depository including any transactions made subject to the Bye Laws or Business Rules of the Depository or with reference to anything incidental there to or in pursuance thereof or relating to their validity, construction, interpretation fulfilment of the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions have been entered into or not shall be subject to the exclusive jurisdiction of courts at Mumbai only.

IN WITNESS WHEREOF the client and the Depository Participant has caused these presents to be executed at _____ on _____ day of _____ 20 _____

Signed and delivered by
A/c Holder Signature

1. Sole/ First Holder

2. Second Holder

3. Third Holder

Signed and delivered by
For on behalf of

Alankit ASSIGNMENTS LTD.

Authorised Signatory

WITNESS

Signature, Name & Address

WITNESS

Signature, Name & Address

Alankit ASSIGNMENTS LTD.

Schedule A

Containing details of : Account Maintenance charges, Transaction Charges, Dematerialisation/ Rematerialisation Charges and Other charges is annexed.

Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Ex tn., New Delhi-110055

Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784

Fax:011-42541883-884, E-mail : dp@alankit.com, info@alankit.com

Manager DP Operation Ms Asha Khanna (M) 9582200543, Email : ashak@alankit.com Phone Direct 011-42541899

101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001

Tel.: 0141-4139311-13-14-15, Fa x : 0141-2374535, E-mail : alankitjpr@alank t.com

Branch Incharge Mr Sumit Agarwal (M) 9672973670, E-mail: Sumit@alankit.com Phone Direct 0141-4093302

Lata Arcade 1st Floor, 87, Civil Lines,Near Ayub Khan Chauraha, Bareilly-243001

Tel.: 0581-2551161-64, 2551086-88, Tele/fax : 0581-2551165, E-mail : alankitbly@alankit.com

Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email : ajayg@alankit.com Phone Direct 0581-2551164

DP-ID IN 300118

DP-ID IN 301160

DP-ID IN 301186

SCHEDULE 'A'

ACCOUNT No.

W.E.F. 11/07/2013

	SCHEME - A	SCHEME - B	SCHEME - C	SCHEME - 'AA'
Account Maintenance (Per Annum)	₹ 260/-	₹ 360/-	₹ 750/-	Scheme applicable to Alankit Trading Clients Only A. NIL - ONLY FOR CLIENT WHO ACTIVELY TRADE THROUGH AAL (i.e. Alankit Assignments Ltd.) B. Facility of NIL as (a) above only available for clients actively trading through AAL. Clients whose trading accounts are inactive / dormant, shall maintain minimum cash margin of ₹ 5000/- with AAL at all times otherwise normal AMC of ₹ 260/- as applicable for individual accounts shall be levied. For corporate / trust and NRI accounts this amount shall be ₹ 1000/- p.a. A. Annual account maintenance charges for clients availing Speed-e facility of NSDL for debit instruction through internet:- a. * For IDEAS users ₹20/- per annum extra b. * for smart card user ₹ 520/- per annum extra (inclusive of IDEAS facility) * To be deposited in advance at the time of activation of account
Trading account opening Charges / Documentation charges for Speed-e facility and Internet trading	N.A.			₹ 500/-
	SCHEME - A	SCHEME - B	SCHEME - C	SCHEME - 'AA'
Off Market / Inter-depository Delivery	₹16/- per debit instruction	₹15/- per debit instruction	₹14/- per debit instruction	₹ 20/- per debit instruction
Market Delivery	₹16/- per debit instruction	₹15/- per debit instruction	₹14/- per debit instruction	₹ 11/- per debit instruction for client trading with Alankit Assignments Ltd. And ₹ 20/- per debit instruction for client trading with other brokers
Concessional charges for debit Instruction put through speed-e Facility of NSDL	₹14/- per debit instruction	₹13/- per debit instruction	₹12/- per debit instruction	₹ 9/- per debit instruction for client trading with Alankit Assignments Ltd. And ₹18/- per debit instruction for client trading with other brokers
Charges for upload of KYC Data/ Documents under KRA Regulations	₹ 50/- per instance			
Charges for download of KYC Data/ Documents under KRA Regulation	₹ 50/- per instance			
Additional postage charges for sending confirmation letters to clients with foreign address for KYC upload / download	Actuals as levied by NSDL / NDML			
Rejection Charges	₹ 20/- per instruction			₹ 5/- per debit instruction
Creation of pledge	₹ 50/- per instruction (Chargable from the pledger)			
Closure/ Invocation/Confirmation of Pledge	₹ 25/- per instruction			
Securities Borrowing	₹ 40/- per instruction			
Dematerialization	₹ 20/- plus ₹ 3.00 Per Certificate Subject to Maximum of ₹ 250/-			
Repurchase of Mutual Fund Units	₹ 20/- per certificate			
Rematerialisation / repurchase	A. ₹ 20/- for every hundred securities viz. Shares / debentures / bonds etc. Or part thereof. Or B. ₹ 20/-Per Certificate. Whichever is higher			
Delivery Instruction Book Charges	₹ 50/- per book			

- o Annual charges will be levied w.e.f. 1st day of the quarter in which account is opened.
- o **In case of account closure the AMC shall be levied/collected up to the quarter in which the account is closed. The AMC for balance quarters shall be refunded.**
- o Non-execution of delivery instruction due to any problem/error-courier charges ₹20/- per communication/dispatch.
- o Modifications in client master ₹ 25/- per instance.
- o Charges for delivery instructions accepted at client's sole risk beyond NSDL deadline, ₹ 20/- per delivery slip, accepted solely at Client's Risk.
- o Non-periodic statement and other communications shall be charged @ ₹ 5/- per page and postage/courier charges @ ₹ 30/- per mail.
- o In case Bank mandate for debit through ECS is not given, minimum credit balance of ₹500/- shall be maintained as advance towards future charges.
- o In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charges @ ₹ 50/- per mail shall be charged extra.
- o In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be ₹100/-
- o In case of any upward revision in schedule of charges, 30 days notice would be given by publication in newspaper/post.
- o In case of demat rejection postage shall be charged @ ₹ 35/- for dispatch to the client for removal of objection.
- o Any service not listed above will be charged for extra.
- o Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorized Signatory



First Holder



Second Holder



Third Holder

Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Ex tn., New Delhi-110055

Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784

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Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email : ajayg@alankit.com Phone Direct 0581-2551164

DP-ID IN 300118

DP-ID IN 301160

DP-ID IN 301186

Date : 11/07/2013

Schedule "A"

Scheme - BSDA

Account Number _____

Account Maintenance (Per Annum)	<p>a. No annual Maintenance Charges (AMC) shall be levied, if the value of holding is upto ₹ 50,000/-</p> <p>b. For the value of holding from ₹ 50,001 to ₹ 2,00,000, ₹ 100 shall be charged.</p> <p>c. If value of holding exceeds ₹ 2 Lacs from that day onwards Normal AMC @ ₹ 200/- P.A. As applicable to scheme "A" shall be charged. The value of holding shall be determined on the basis Of guidelines in SEBI circulars.</p> <p>Annual account maintenance charges for clients availing Speed-e facility of NSDL for debit instruction through Internet : * For IDEAS users ₹ 20/- per annum extra.</p>
Transaction Charges	<p>a. ₹ 16/- per debit instruction</p> <p>b. Concessional charges for debit instruction put through speed-e Facility of NSDL ₹ 14/- per debit instruction.</p>
Rejection Charges	₹ 5/- per debit instruction
Creation of Pledge	₹ 50/- per instruction (chargeable from the pledgor)
Closure/Invocation/ confirmation of pledge	₹ 25/- per instruction
Securities Borrowing	₹ 40/- per instruction
Dematerialization	₹ 20/- plus ₹ 3/- per certificate subject to Maximum of ₹ 250/-
Rematerialisation	<p>A. ₹ 20/- for every hundred securities viz. Shares / debentures / bonds etc. Or part thereof. Or ₹ 20/- per certificate. Whichever is higher</p> <p>B. In case of DP Commodity account ₹ 75/- per request. However, no rematerialisation fee shall be charged for Government Securities.</p>
Repurchase / redemption	₹ 20/- per certificate
Delivery Instruction Book Charges	2 Delivery Slips at the time of opening of new Account free of cost subsequent deliver books of 20 leaves each, ₹ 50/- per book.

- o In case of account closure the AMC shall be levied / collected up to the quarter in which the account is closed. The AMC for balance quarters shall be refunded.
- o **All BOs opting for the facility of BSDA, shall register their mobile number for availing the SMS alert facility for debit transaction.**
- o Non-execution of delivery instruction due to any problem/error-courier charges ₹20/- per communication/dispatch.
- o Modifications in client master ₹ 25/- per instance.
- o Charges for delivery instructions accepted at client's sole risk beyond NSDL deadline, ₹ 20/- per delivery slip, Delivery instruction slip must be received at Jhandewalan, New Delhi, Head Office / Jaipur / Bareilly branches (i.e. Where client accounts are being maintained) within NSDL stipulated deadline.
- o Additional physical statement beyond 2 statement per billing cycle shall be charged @ ₹ 25/- per Statement.
- o In case Bank mandate for debit through ECS is not given, minimum credit balance of ₹ 500/- shall be maintained as advance towards future charges.
- o In case of foreign correspondence address, in addition to annual account maintenance charges, statement / communication charges @ ₹50/- per mail shall be charged extra.
- o In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be ₹100/-
- o In case of any upward revision in schedule of charges, 30 days notice would be given by publication in newspaper/post.
- o In case of demat rejection postage shall be charged @ ₹ 30/- for dispatch to the client for removal of objection.
- o Any service not listed above will be charged for extra.
- o Taxes and other government levies extra as applicable from time to time.
- o At any time when accounts is qualified as NON BSDA account maintaince charges as per Annex. Charge Schedule "A" shall be applicable.

Please place my / our account under Scheme _____.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory

⊗

First Holder

⊗

Second Holder

⊗

Third Holder

Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Ex tn., **New Delhi-110055**

Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784

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DP-ID IN 300118

DP-ID IN 301160

DP-ID IN 301186

(For New Accounts) Option for opening Basic Services Demat Account (BSDA)

Date

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

With reference to my / our application for opening a depository account, I/ We request you to open my / our depository accounts as a BSDA as per the following details :

	Name	PAN																	
Sole / First Holder																			
Second Holder																			
Third Holder																			

Please register Mobile No. _____ in my Demat account and update the SMS flags.

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/We am / are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/ we at any point of time do not meet the eligibility as a BSDA holder, my/our aforesaid account is liable to be converted to regular account.

1. _____
2. _____
3. _____

Signature(s) of Account Holder(s)

**THE FORWARDING LETTER IN RESPECT TO POWER OF ATTORNEY WHEREIN
ALANKIT ASSIGNMENTS LTD. IS THE DONEE IS ENCLOSED**

FROM:

First Holder _____

Second Holder _____

Third Holder _____

Date: _____

M/s. Alankit Assignments Limited
1E/13, Jhandewalan Extension
New Delhi 110 055.

Dear Sir,

Sub: Registration of Power of Attorney for my/our Demat Account

Client ID _____ DP ID _____

I/We have granted a Power of Attorney (POA) to Shri/Smt. _____ (POA holder), to allow operations / operate my/our above mentioned account(s) with you. The original / notarized copy of POA is enclosed. I/We will inform the Alankit Assignments Ltd. immediately in writing, incase the POA is revoked by me. Till such time the Alankit Assignments Ltd. shall continue to act on the POA.

Also please register the mobile no. in my/our demat account and update the SMS flags. The details of the same are as follows: (Mandatory)

Sole / First Holders Name : _____

Second Holders Name : _____

Third Holders Name : _____

Mobile Number
(Mandatory)

--	--	--	--	--	--	--	--	--	--

(Please write only mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____
(Please attach copy of the latest Bill of the service provider i.e. Mobile Company)

Signature of demat account holders

First Holder _____

Second Holder _____

Third Holder _____

POWER OF ATTORNEY

Be it known to all whom these present may concern that I/We, _____, S/o, D/o, W/o _____ holder of
 _____ PAN No. _____ (Mention Identity Documents) and residing at _____

present address _____ do hereby appoint, nominate and constitute ALANKIT ASSIGNMENT
 LTD Regd. Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi 110 055 as my/our true and lawful attorney in my/our name and
 on my/our behalf.

WHEREAS I/We am/are maintaining Demat Account No. _____ DP ID _____ with Alankit Assignments Ltd.

WHEREAS I/We, am/are Broking constituent/s of Alankit Assignments Limited and am/are required to give delivery of securities to the said Alankit
 Assignments Ltd. towards our obligations in connection with our broking dealings including but not limited to pay-in and margin obligations.

AND WHEREAS it is not possible for me/us to execute/give the delivery instructions on my/our own and have requested the said Alankit Assignments
 Ltd. to undertake delivery of securities on my/our behalf.

AND WHEREAS the said Alankit Assignments Ltd. has agreed at my/our request to effect delivery of the same from my/our demat account.

In these premises, my/our said attorney, Alankit Assignments Ltd. is authorized on my/our behalf to execute delivery instructions slips/forms and
 other instructions for getting all/any of the above mentioned job done.

The securities may be transferred in following Demat Accounts of Alankit Assignments Ltd.:

Sl. No.	Demat A/c No.	DP ID	DP Name	A/c Type
1.	10000004	IN300118	Alankit Assignments Ltd	NSE Pool A/c
2.	10894357	IN300118	Alankit Assignments Ltd	BSE Pool A/c
3.	11039923	IN300118	Alankit Assignments Ltd	BSE Client Beneficiary A/c
4.	11039914	IN300118	Alankit Assignments Ltd	NSE Client Beneficiary A/c
5.	14056813	IN300888	SHCIL	F & O Margin A/c
6.	18476783	IN301330	SHCIL	C M Margin A/c

Alankit Assignments Ltd. Would return the securities to client's demat account if any securities have been received by Alankit Assignments Ltd
 erroneously.

AND This Power of Attorney may be revoked by me / us by notice in writing to be delivered by me / us personally at Alankit Assignments Ltd. 2E/21,
 Jhandewalan Extension, New Delhi - 110055. The Notice of revocation shall become operational when it is actually received by Alankit Assignments
 Ltd at aforesaid address.

The Revocation shall not absolve me / us from payin obligation and margin obligation undertaken prior to receipt of notice by the attorney and
 revocation shall be effective only after the same have been discharged in full in all respects.

And generally to do all other acts, deeds and things, as may be incidental, ancillary and necessary to be done in giving effect to all or any of the
 aforesaid purposes.

And I/we hereby for my/our self, my/our heirs, executors, and administrators agree to ratify and confirm whatever my/our said attorney shall do or
 lawfully cause to be done in the premises by virtue of these present.

And I/We hereby agree that all acts, deeds and things lawfully done by my/our said attorney shall be construed as acts, deeds and things done by
 me/us and I/We under take to ratify and confirm all the whatsoever that my/our said attorney shall lawfully do or cause to be done for me/us by virtue
 of the Power hereby given.

IN WITNESS WHEREOF, I/We the above mentioned do hereby-set my/our hands hereon at _____ on this _____ day of
 the month of _____ in the year _____ in the presence of the following witness:

EXECUTANT

WITNESS (1) : _____
 NAME: _____

SIGNATURE _____
 ADDRESS _____

WITNESS (2) : _____
 NAME: _____

SIGNATURE _____
 ADDRESS _____

ACCEPTED

FORM A

[See Paragraph 5(b)]

Declaration to be submitted by the Investor to the depository participants for availing the benefits under the Rajiv Gandhi Equity Savings Scheme.

Name of the Investor :
(First Holder)

Address of the Investor :

Permanent Account Number (PAN) :

1. It is hereby certified that* –
 - (a) I do not have a demat account and I have not traded in any derivatives.
 - (b) I have demat account no. _____ In _____
Depository participant but I have not traded in any equity shares or derivatives in this account.
 - (c) I have a joint demat account no. _____ In _____
Depository participant but I am not the first account holder.
2. I hereby declare that I have read and understood all the terms and conditions of the Rajiv Gandhi Equity Savings Scheme.
3. It is hereby verified that I am an eligible new retail investor for availing the benefits under the Rajiv Gandhi Equity Savings Scheme.
4. I undertake to abide by all the requirements and fulfill all obligations under the Scheme, and will comply with all the terms and conditions of the Scheme.
5. I understand that, in case I fail to comply with any condition specified in the Scheme, the benefits availed there under will be withdrawn and the tax shall be payable by me accordingly.

Signature of the Investor

Place :

Date :

Serial No.

Alankit ASSIGNMENTS LIMITED

DEPOSITORY DIVISION

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING)MANDATE FORM

- 1. D.P. A/c. No.
- 2. Name :
- 3. Bank Name :
- a) Branch Name :
- b) 9-Digit MICR Code* :
- c) Account Type S.B.A/c.(Code 10) Current A/c (Code 11)
 Cash Credit (Code 13)
- D) Ledger No./Folio No. _____

Account No. _____
*Appearing on the MICR Cheque issued by the Bank (Please attach the Photocopy of a Cheque or a blank cancelled cheque Issued by your bank for verifying the accuracy of the Code Number)

I, hereby, declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date : _____ Signature of the applicant(s)

BANK CERTIFICATION (Not required if photocopy of the cheque is enclosed).
Certified that the particulars furnished above are correct as per our records.

Bank's Stamp :

Date : _____ Signature of the authorised bank official

----- (Please Tear Here)

AUTHORISATION TO BANK FOR DEBITING THE ACCOUNT

To,
The Branch Manager

Dear Sir,
I/We _____ residing at

maintain a _____ Account No. _____
with your branch.

I/We hereby authorised the Bank to debit all types of commission / fees ('Service Charges') payable by me / us and charged by Alankit Assignments Ltd. through the ECS (Debit Clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED at _____ (Place), this _____ (day),

of _____ (month), _____ (Year)

Signature _____ Name _____

Signature _____ Name _____

Signature _____ Name _____

(A/c holder's Signature should be the same as in bank A/c. In case of joint account both the holder must sign the mandate form)

----- (Please Tear Here)-----

Serial No.

Alankit ASSIGNMENTS LIMITED

DEPOSITORY DIVISION

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING)MANDATE FORM

- 1. D.P. A/c. No.
- 2. Name :
- 3. Bank Name :
- a) Branch Name :
- b) 9-Digit MICR Code* :
- c) Account Type S.B.A/c.(Code 10) Current A/c (Code 11)
 Cash Credit (Code 13)
- D) Ledger No./Folio No. _____

Account No. _____
*Appearing on the MICR Cheque issued by the Bank (Please attach the Photocopy of a Cheque or a blank cancelled cheque Issued by your bank for verifying the accuracy of the Code Number)

I, hereby, declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date : _____ Signature of the applicant(s)

BANK CERTIFICATION (Not required if photocopy of the cheque is enclosed).
Certified that the particulars furnished above are correct as per our records.

Bank's Stamp :

Date : _____ Signature of the authorised bank official

AUTHORISATION TO BANK FOR DEBITING THE ACCOUNT

To,
The Branch Manager

Dear Sir,
I/We _____ residing at

maintain a _____ Account No. _____
with your branch.

I/We hereby authorised the Bank to debit all types of commission / fees ('Service Charges') payable by me / us and charged by Alankit Assignments Ltd. through the ECS (Debit Clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED at _____ (Place), this _____ (day),

of _____ (month), _____ (Year)

Signature _____ Name _____

Signature _____ Name _____

Signature _____ Name _____

(A/c holder's Signature should be the same as in bank A/c. In case of joint account both the holder must sign the mandate form)

***Alankit* ASSIGNMENTS LIMITED**

Regd. Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi 110 055